

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Patron, et al.

Title: DOWNLOADABLE CONTROL POLICIES FOR INSTANT MESSAGING USAGE

Application No.: 10/662,890

Filed: September 15, 2003

Examiner: Not yet assigned

Group Art Unit: 3629

Atty. Docket No.: 1033-T00538

MS MISSING PARTS
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

☒ Form Substitute PTO-1449A (1 page)

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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2. a representation that a search has been made, other than as described below; or
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For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents on <u>February 16, 2004</u> .	
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PATENT

STATEMENT UNDER 37 C.F.R. § 1.704(d)

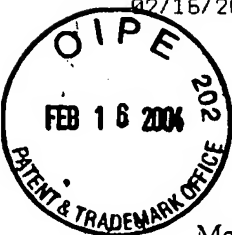
If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☒ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
- ☐ during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.
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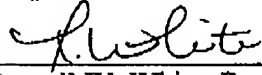


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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-2469.

2/16/04
Date

Respectfully submitted,



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet 1 of 1
(use as many sheets as necessary)

Complete if Known
Application Number 10/662,890
Filing Date September 15, 2003
First Named Inventor Patron, et al.
Group Art Unit 3629
Examiner Name Not yet assigned
Attorney Docket Number 1033-TD0538

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. 1	U.S. Patent Document Number	Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	2001/0034759	A1	Chiles et al.	10-25-2001	
	AB	2002/0049806	A1	Gatz et al.	04-25-2002	
	AC	2002/0059454	A1	Barrett et al.	05-16-2002	
	AD	2002/0065721	A1	Lema et al.	05-30-2002	
	AE	2002/0103862	A1	Burr	08-01-2002	
	AF	2002/0198940	A1	Bower et al.	12-26-2002	
	AG	2003/0063072	A1	Brandenberg et al.	04-03-2003	
	AH	2003/0078972	A1	Tapissier et al.	04-24-2003	
	AI	2003/0101283	A1	Lewis et al.	05-29-2003	
	AJ	2003/0101343	A1	Eaton et al.	05-29-2003	
	AK	2003/0109271	A1	Lewis et al.	06-12-2003	
	AL	2003/0110212	A1	Lewis	06-12-2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. 1	Foreign Patent Document Office 3	Number	Kind Code 2 (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 4
	AM							<input type="checkbox"/>
	AN							<input type="checkbox"/>
	AO							<input type="checkbox"/>

PUBLICATIONS

Examiner Initials *	Cite No. 1	Title of Publication	Date of Publication of Cited Document MM-DD-YYYY
	AW		
	AX		
	AY		
	AZ		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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